

PATENT  
Attorney Docket No. 0176-0061

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Robert C. Baenziger

Serial No.: 10/684,972

Filed: October 14, 2003

Examiner:

Art Unit:

For: LEVEL WIND MECHANISM

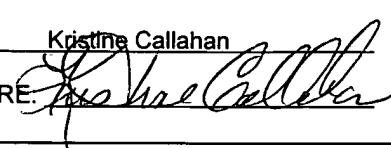
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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.97, as revised on February 4, 1992, 1135 OG 23-24, Applicants hereby call the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application.

No inference should be drawn that any apparatus disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in

the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

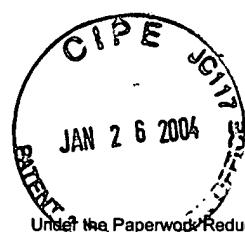
Applicants also respectfully reserve the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fee under 37 CFR 1.97(c) is required. If it is determined that a fee is required for this Information Disclosure Statement, please charge our Deposit Account No. 50/1039.

Respectfully submitted,

  
\_\_\_\_\_  
Stephen B. Heller  
Registration No. 30,181

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

Application Number	10/684,972
Filing Date	October 14, 2003
First Named Inventor	Robert C. Baenziger
Art Unit	
Examiner Name	

Attorney Docket Number 0176-0061

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
1	US- 1,438,007		12/1922	Welch	
2	US- 1,456,283		5/1923	Schmmid	
3	US- 1,507,404		9/1924	Welch	
4	US- 1,619,448		3/1927	Vance	
5	US- 1,774,789		9/1930	Dina	
6	US- 1,898,316		2/1933	Schafer	
7	US- 1,964,965		7/1934	Smelser	
8	US- 2,198,231		4/1940	Schafer	
9	US- 2,204,125		6/1940	Dayton	
10	US- 2,520,552		8/1950	Kilian	
11	US- 2,609,161		9/1952	Guhlin et al.	
12	US- 2,613,044		10/1952	Zetts	
13	US- 3,111,287		11/1963	Baenziger	
14	US- 3,171,609		3/1965	Baenziger	
15	US- 3,612,425		10/1971	Shakespeare et al.	
16	US- 3,670,985		6/1972	Morishita	
17	US- 3,993,264		11/1976	Kamikawa	
18	US- 4,285,476		8/1981	Baenziger	
19	US- 4,341,366		7/1982	Kawada	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Sheet 2 of 2

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		Number-Kind Code <sup>2</sup> (if known)			
20	US-	4,361,293	11/1982	Baenziger	
21	US-	4,402,470	9/1983	Hamayasu	
22	US-	4,557,429	12/1985	Atobe	
23	US-	4,580,741	4/1986	Murakami et al.	
24	US-	4,666,101	5/1987	Atobe	
25	US-	4,772,410	9/1988	Sato	
26	US-	4,807,827	2/1989	Welch	
27	US-	5,388,776	2/1995	Childre	
28	US-	6,446,895	9/2002	Baenziger et al.	
	US-				

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